

Advantage Cash SweepSM

Program Banks

This is the list of Program Banks available to clients who use the Advantage Cash Sweep (ACS) program. Through the program, cash balances in your Brokerage Account will be automatically deposited or “swept” into FDIC-Insured Program Deposit Accounts at one or more Program Banks on this list. You are responsible for monitoring your total deposits at each Program Bank, including amounts deposited outside the program in order to determine the extent of available FDIC insurance coverage. Depending on the amount of deposits that you have at a Program Bank, you may want to direct that one or more Program Banks be excluded from the list of Program Banks applicable to you. For more information, please refer to the Advantage Cash Sweep Disclosure.

PROGRAM BANK LIST FOR ADVANTAGE CASH SWEEP (EFFECTIVE DECEMBER 16, 2024)

Bank	Headquarters	Bank	Headquarters
American Express National Bank	Sandy, UT	Malvern Federal Savings Bank	Paoli, PA
Axos Bank	San Diego, CA	Pinnacle Bank	Nashville, TN
Bank of Baroda	New York, NY	State Street Bank and Trust Co.	Boston, MA
Bank United	Miami Lakes, FL	The Bank of East Asia	New York, NY
Centennial Bank	Happy, TX	TriState Capital Bank	Pittsburgh, PA
Citibank N.A.*	Sioux Falls, SD	Truist Bank*	Charlotte, NC
Citizens Bank	Providence, RI	UMB Bank	Kansas City, MO
Customers Bank	Malvern, PA	Umpqua Bank	Roseburg, OR
East West Bank	Pasadena, CA	United Community Bank	Greenville, SC
First Horizon Bank	Memphis, TN	Wells Fargo Bank, National Association	Sioux Falls, SD
Independent Bank	McKinney, TX		

*Excess Deposit Banks